

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

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|---|---|-------------------------|
| In re application of                                | : | September 19, 2003      |
| James M. Mathewson II, et al                        | : | IBM Corporation         |
| Filing Date: 09/19/2003                             | : | Dept.T81/Bldg. 503      |
| Serial No. Not Assigned Yet                         | : | P.O. Box 12195          |
| For: Using Radio Frequency Identification with      | : | Research Triangle Park, |
| Transaction-Specific Correlator Values Written on   | : | North Carolina 27709    |
| Transaction Receipts to Detect and/or Prevent Theft | : |                         |
| and Shoplifting                                     | : |                         |

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CF 1.56 and 37 C.F.R. 1.97(e)(1).

While this Information Disclosure Statement may be “material” pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is “prior art” for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "A. Bruce Clay". The signature is fluid and cursive, with the first letters of each word being capitalized and prominent.

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Docket No. RSW920030195US1

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| FORM PTO-1449 (Modified)<br><br>LIST OF PATENTS AND PUBLICATIONS<br>FOR APPLICANT'S INFORMATION<br>DISCLOSURE STATEMENT<br><br>(Use several sheets if necessary) | ATTY. DOCKET NO.<br>RSW920030195US1         | SERIAL NO. |
|  | APPLICANT:<br>James M. Mathewson II, et al. |            |
|  | FILING DATE:<br>herewith                    | GROUP:     |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIALS | CITE | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME | REFERENCE |
|----------------------|------|--------------------|---------------------|------|-----------|
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FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIALS | CITE | FOREIGN PATENT<br>DOCUMENT | PUBLICATION<br>DATE | NAME | REFERENCE |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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|--|--|------------------|--|
|  |  |                  | "How Anti-shoplifting Devices Work", printed Aug. 27, 2003,<br>< <a href="http://electronics.howstuffworks.com/anti-shoplifting-device.htm/printable">http://electronics.howstuffworks.com/anti-shoplifting-device.htm/printable</a> > (p. 1-10) |
|  |  | Schmidt, Charlie | "Beyond the Bar Code" and companion article "What's My Number", <i>Technology Review Magazine</i> , March 2001 (p. 80-85)  |
|  |  | Webb, Warren     | "Stop! Thief", <i>EDN</i> , June 21, 2001 (p. 52, 54, 56)  |
|  |  | Pope, Justin     | "Radio-Tagged Codes to Hit Supply Lines", AP Business Writer, Printed September 15, 2003.  |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.